

Application No.: NEW APPLICATION

10/522014 #2
DT15 PCT/PTO 21 JAN 2005
Docket No.: HOK-0255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Akira Tsujimoto et al.

Application No.: NEW APPLICATION

Confirmation No.: N/A

Filed: January 21, 2005

Art Unit: N/A

For: PHOTOCATALYST-CONTAINING
SILICONE RESIN COMPOSITION AND
COATED ARTICLE WITH CURED FILM
OF THE SAME COMPOSITION

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98.
- ☐ As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. _____, filed _____.
- ☒ A copy of each listed document, that was cited in the International Search Report or International Preliminary Examination Report attached hereto, should have been provided to the U.S. Patent and Trademark Office by the WIPO, and the provisions of 37 CFR §§1.97 and 1.98 should have been complied with.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as

Application No.: NEW APPLICATION

Docket No.: HOK-0255

defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date or within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in compliance with 37 C.F.R. §1.97(b), OR is being filed concurrent with filing of the Continued Prosecution Application (CPA) or the Request for Continued Examination (RCE). No fee is required (37 C.F.R. §1.97(b)).
- ☒ 2. In the event any fees are due with this paper, the Commissioner is respectfully authorized to charge our Deposit Account No. 18-0013.

Dated: January 21, 2005

Respectfully submitted,

By 

David L. Nikaido

Registration No.: 22,663

RADER, FISHMAN & GRAUER PLLC

1233 20th Street, N.W.

Suite 501

Washington, DC 20036

(202) 955-3750

Attorney for Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	NEW APPLICATION 10/522014
				Filing Date	January 21, 2005
				First Named Inventor	Akira Tsujimoto
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	HOK-0255

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-6,228,480-B1	05-08-2001	Kimura et al.	
	AB	US-6,407,033-B1	06-18-2002	Kimura et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	BA	JP-09-328336-A1	12-22-1997	Asahi Glass Co., Ltd.		
	BB	WO-98/15600-A1	04-16-1998	Nippon Soda Co., Ltd.		
	BC	JP-10-216528-A1	08-18-1998	Sharp Corp.		
	BD	JP-09-310039-A1	12-02-1997	Nippon Soda Co., Ltd.		
	BE	JP-11-347418-A1	12-21-1999	Sumitomo Osaka Cement Co., Ltd.		
	BF	JP-2001-106974-A1	04-17-2001	Nippon Soda Co., Ltd.		
	BG	JP-2001-146573-A1	05-29-2001	Matsushita Electric Works, Ltd.		
	BH	JP-2002-053772-A1	02-19-2002	Nippon Soda Co., Ltd.		
	BI	JP-2002-161238-A1	06-04-2002	Matsushita Electric Works, Ltd.		
	BJ	JP-2003-170516-A1	06-17-2003	Matsushita Electric Works, Ltd. & Nippon Sheet Glass Co., Ltd.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	International Search Report for PCT/JP03/09374 mailed on November 25, 2003	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--